

FINANCIAL INSTITUTIONS

NAVIGATING A CHANGING AND COMPLEX ENVIRONMENT.

The world of Financial Institutions is more complex than ever. Understanding this segment's risks has become more challenging due to an evolving regulatory environment, changing technologies that include crowdfunding and crypto currencies, and the rise of alternative lenders. Litigation is now commonplace given the growing complexity of transactions and the higher expectations clients and investors have of their service providers.

MISCELLANEOUS FINANCIAL INSTITUTIONS

- Tailored product to specific exposures
- 85+ classes for lenders, advisors, consultants, servicers, and many more
- Coverage Sections for Lenders Liability, Advisory Liability and tailored Misc. FI Professional Services
- Subpoena Compliance Coverage
- Loss of Document Coverage

BROKER DEALER – INVESTMENT ADVISOR

- Professional Liability
- Broad Definitions of Alternative Investments
- Protection for innocent insureds
- Manuscript professional services for additional activities
- Investment Banking, Selling Away, Cost of Corrections, Penny Stock coverage available

OUR APPETITE

Broad appetite within the small-to-midsize enterprise (SME) market, with a focus on underwriting to risk characteristics of the insured. Agents can customize to client needs, securing all offerings or selecting only the required coverages. As always, Business Risk Partners has assembled a team of underwriters with the expertise to help navigate this changing environment.

RECENT SUCCESSES

- Startup crowd funding platform for local businesses: \$1MM REVS, 3 Employees
 - Bound \$1MM ex \$25K @ \$15K
- Renewable energy lending sponsor: \$2.5MM REVS, 16 Employees
 - Bound \$2MM ex \$35K @ \$22K
- Private Equity backed Consumer Lender: \$119MM REVS, 250 Employees
 - Bound \$2MM ex \$250K @ \$90K
- Lending subsidiary of large national retailer: \$17MM REVS
 - Bound \$2MM ex \$150K @ \$22K
- Start-up BD: \$2MM REVS, 6RR
 - Bound \$1MM ex \$25K @ \$17K
- Established BD/RIA with claims: \$11MM REVS, 44RR
 - \$1ex\$150K @ \$150K including Investment Banking, Selling away

Putting risk in its place



BusinessRisk
PARTNERS



PLEASE NOTE: This is solely intended to be a summary of policy coverage. Please reference the actual policy for specific terms and conditions. The policy supersedes all representations made above.

AT A GLANCE

Product: Misc. Financial Institutions
Professional Liability; Securities
Shield, Adviser Shield, BancShield

Target: Small and Middle Market
Financial Institutions

Revenue: No minimums for Misc. FIPL,
\$1MM minimum for BDs/RIAs

Capacity: \$5M Primary and Excess

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